

1 The effect of COVID-19 vaccination in Italy and perspectives for 2 “living with the virus”

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18 Abstract

19 Vaccination campaigns against COVID-19 are allowing the progressive release of physical distancing
20 restrictions in many countries. However, the global spread of the highly transmissible Delta variant
21 has likely suppressed the residual chances of SARS-CoV-2 elimination through herd immunity alone.
22 Here we assess the impact of the vaccination program in Italy since its start on December 27, 2020
23 and evaluate possible prospects for reopening the society while at the same time keeping COVID-19
24 under control. To this aim, we propose a mathematical modeling framework where levels of social
25 activity are adjusted to match the time-series of the net reproduction number as estimated from
26 surveillance data. We compared the estimated level of social contacts, number of deaths, and
27 transmission potential with those of a counterfactual scenario where the same epidemic trajectory is
28 obtained in absence of vaccination. We then evaluate the prospective impact of different scenarios of
29 vaccination coverage and different social activity levels on SARS-CoV-2 reproduction number. We
30 estimate that by June 30, 2021, the COVID-19 vaccination program allowed the resumption of about
31 half the social contacts that were recorded in pre-pandemic times; in absence of vaccination, only
32 about one third could have been resumed to obtain the same number of cases, with the added cost
33 of about 12,100 (95%CI: 6,600-21,000) extra deaths (+27%; 95%CI: 15-47%) between December 27,
34 2020 and June 30, 2021. We show that the negative effect of the Delta variant diffusion in July was
35 entirely offset by vaccination in the month of July and August 2021. Finally, we estimate that a
36 complete return to the pre-pandemic life could be safely attained only if >90%, including children
37 from 5 years on, will be vaccinated using mRNA vaccines developed in 2020. In any case, increasing
38 the vaccination coverage will allow further margins for societal reopening even in absence of a
39 pediatric vaccine. These results may support the definition of vaccination targets for countries that
40 have already achieved a broad population coverage.

NOTE: This preprint reports new research that has not been certified by peer review and should not be used to guide clinical practice.

41 Introduction

42 Since December 2020, vaccination against COVID-19 is being rolled out in all countries of the world, in
43 a race to put an end to the devastating effects of the pandemic in terms of lives lost [1], hospital
44 congestion [2], economic disruption [3], and mental health [4]. While African countries are painfully
45 struggling to have access to vaccines and to distribute them (only 4.5% of the population in Africa is
46 fully vaccinated, as of October 1, 2021 [5]), most high-income countries had a fast deployment, with
47 over half of their citizens being fully immunized by July 2021 [5]. Thanks to the high efficacy and
48 effectiveness of the licensed vaccines against SARS-CoV-2 infection, severe disease, and death [6-10],
49 and to the prioritization of the highest risk categories, these countries were able to limit the damages
50 caused by the emergence of the hypertransmissible Delta variant [11-13]. In the European Union, for
51 example, despite ample relaxations of physical distancing restrictions conceded by governments over
52 the summer of 2021, the peak mortality never exceeded 1.5 deaths per million since Delta become
53 dominant in July, as compared to values over three times higher from November 2020 through April
54 2021 [5]. Similarly, the incidence of confirmed cases remained within about 150 cases per million, a
55 value that is lower than those systematically observed between mid-October 2020 and mid-May 2021
56 [5]. With the ongoing progress of immunization campaigns, there is a need to quantitatively assess
57 their impact on health and social activities, as well as to evaluate potential future epidemiological
58 scenarios. In particular, as the emergence of the Delta variant has severely dwindled chances to
59 eliminate SARS-CoV-2 [14] in countries that have not managed to maintain a zero-COVID approach
60 [15], there is a need to identify strategic objectives towards “living with COVID-19” [16] at least in the
61 medium term.

62 In this study, we use a mathematical model of SARS-CoV-2 transmission, informed by detailed real-
63 world data, to retrospectively evaluate the effect of COVID-19 vaccination in Italy during the first half
64 of 2021, and to prospectively assess potential future scenarios associated to different coverage levels.

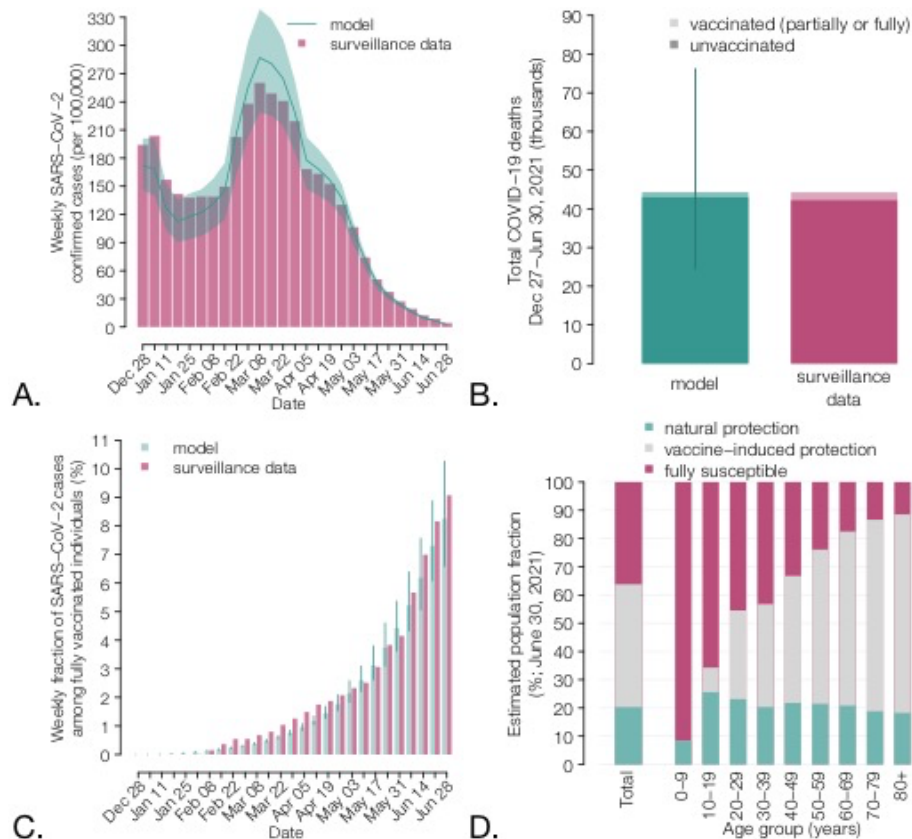
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66 Results

67 We adapted an age-structured, compartmental model of SARS-CoV-2 transmission in Italy [17,18] that
68 estimates the level of social activity needed to match the net reproduction number, as computed
69 from official epidemic curves recorded in the national integrated surveillance system [10,19]. The
70 level of social activity is expressed in terms of the proportion of social contacts measured before the
71 pandemic [20]. The model keeps into account the dynamics of age-specific population immunity due
72 to both infection [17], the progression of the vaccination campaign [21], and waning of immunity. We
73 assume that protection from both natural and vaccine-induced immune response wanes
74 exponentially with a baseline average duration of 2 years [22, 23]. We assume that successfully
75 vaccinated individuals are not fully immune (“leaky vaccine”) with different efficacy values for
76 preventing infection and lethal disease. We tuned the model with data from the initial phase of the
77 vaccination campaign (December 27 - June 30, 2021), when the SARS-CoV-2 Alpha variant was
78 dominant in the country [24], and we project model results for the future by considering the
79 progression of the vaccination campaign and the dominance of the Delta variant as of October 2021
80 [25,26]. Further details on the model are provided in Section Methods.

81 **Retrospective analysis.** The model reproduces the observed number of COVID-19 cases and deaths in
82 vaccinated (partially or fully) and unvaccinated individuals over the first half of 2021 (Figure 1A-C).

83 Considering the population immunity acquired from both vaccination and infection, a significant
 84 fraction of the Italian population (36.2%, 95% CI: 35.9-36.7%) was estimated to be fully susceptible to
 85 SARS-CoV-2 as of June 30, 2021, with high heterogeneity by age (Figure 1D). This population immunity
 86 profile would have been insufficient to avoid potential successive outbreaks if caution was not applied
 87 when lifting physical distancing restrictions; for example, a complete resumption of pre-pandemic
 88 social activity would result in an effective reproduction number of 1.9 (95% CI: 1.8-2.1) on June 30,
 89 2021, even in absence of the more transmissible Delta variant.
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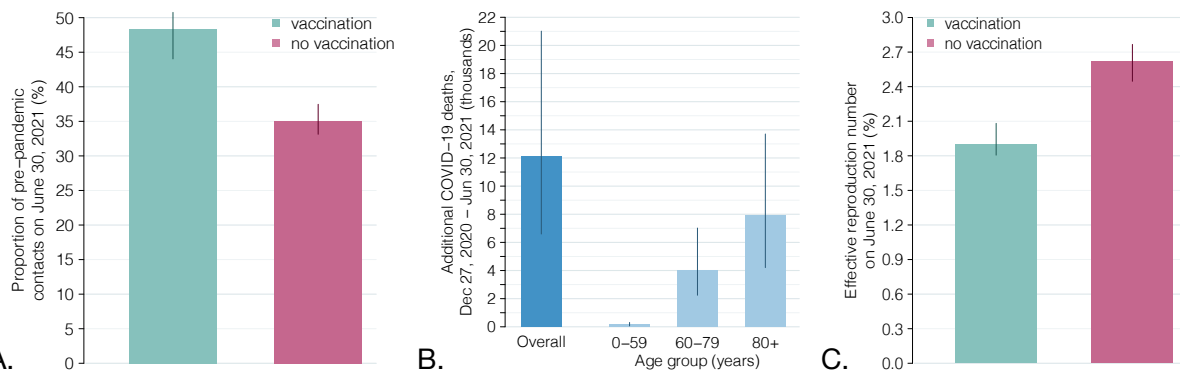
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 92 **Figure 1. Characteristics of the COVID-19 epidemic in Italy during the first half of 2021.** A) Weekly incidence per
 93 100,000 population of COVID-19 confirmed cases (the x axis reports the starting day of the considered week);
 94 gray bars: data from the Italian Integrated Surveillance System [27]; green lines: mean of the model estimates;
 95 green shaded area: 95% CI. B) Total number of COVID-19 deaths over the study period (in thousands) among
 96 vaccinated (partially or fully) and unvaccinated individuals; green: model; red: data from the Italian Integrated
 97 Surveillance System [28]. C) Weekly percentage of confirmed COVID-19 cases occurring in fully vaccinated
 98 individuals over the total. The fraction of cases in completely vaccinated individuals increases over time because
 99 of the progressive increase in the vaccinated population. Bars: mean model estimate and observed data; vertical
 100 lines: 95% CI. D) Estimated immunity profile of the Italian population, overall and by age groups, on June 30,
 101 2021. Individuals who have been infected after being vaccinated or who have been vaccinated despite still
 102 having a protection from infection are counted under the natural protection bar; individuals who have never
 103 been infected or who have lost their natural protection and were vaccinated (partially or fully) are included
 104 under the vaccine-induced protection bar; individuals who were never vaccinated nor infected, or who were
 105 infected but lost their natural protection, or who were vaccinated but lost their vaccine-induced protection are
 106 included under the fully susceptible bar.

107 To evaluate the impact of the COVID-19 vaccination program in Italy over the first half of 2021, we
 108 simulated a scenario where we assume that the actual epidemic trajectory would be maintained, in

109 absence of vaccination, by an appropriate reduction in social activity over time, due to both
 110 governmental measures and individual behavioral choices. Under these hypotheses, a decrease of
 111 about one fourth – from 48% (95% CI: 44-51%) to 35% (95% CI: 33-37%) – of the average proportion
 112 of active social contacts at the end of June 2021 would have been needed (Figure 2A). Furthermore,
 113 we estimate that about 12,100 additional deaths (95% CI: 6,600-21,000, corresponding to an increase
 114 of 27%, 95% CI: 15-47%), would have occurred in the population even under the same cumulative
 115 number of cases (Figure 2B), mostly because of a larger proportion of infections among the high-risk
 116 segments of the population. Finally, we estimate that the potential for successive waves would be
 117 much larger due to the lower population immunity under this scenario, with an estimated effective
 118 reproduction number of 2.6 (95% CI: 2.4-2.8) (Figure 2C).

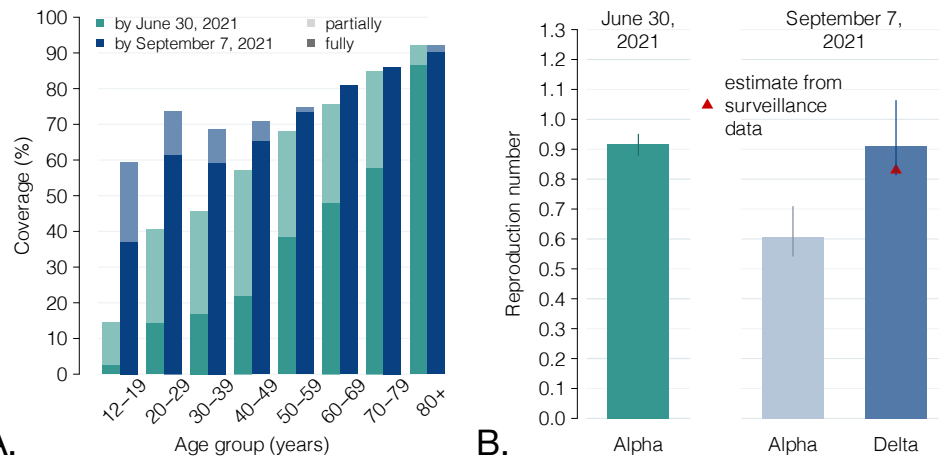
119 If the Alpha variant had remained dominant until September 2020, we estimated that the progress of
 120 the vaccination campaign in July and August 2021 (Figure 3A) would have resulted in a decline of the
 121 reproduction number from 0.92 (95% CI: 0.88-0.95) on June 30 to 0.61 (95% CI: 0.54-0.71) on
 122 September 7, 2021 (Figure 3B). However, the Delta variant had rapidly replaced Alpha in July 2021
 123 [25]. Considering a 50% increase in transmissibility of the Delta variant [11-13], the estimated
 124 reproduction number on September 7, 2021, is 0.91 (95% CI: 0.81-1.06), close to the observed value
 125 of 0.83 (95% CI: 0.82-0.84) [29]. Thus, the increased transmissibility of the new variant and the
 126 progress of the vaccination campaign in the summer of 2021 have essentially leveled out, resulting in
 127 similar values of the reproduction number at the end of June and beginning of September, 2021.

128



129

130 **Figure 2. Impact of the vaccination program during the first half of 2021.** A) Estimated active social contacts on
 131 June 30, 2021, as a proportion of pre-pandemic contacts, with and without a vaccination program, under the
 132 constraint that the two scenarios reproduce the same observed epidemic trajectory. B) Estimated additional
 133 COVID-19 deaths between December 27, 2020 and June 30, 2021, total and by age group, under a no-
 134 vaccination scenario. C) Effective reproduction number (i.e., under complete resumption of pre-pandemic
 135 contacts) on June 30, 2021, with and without vaccination. Bars: mean estimates; vertical lines: 95% CI.



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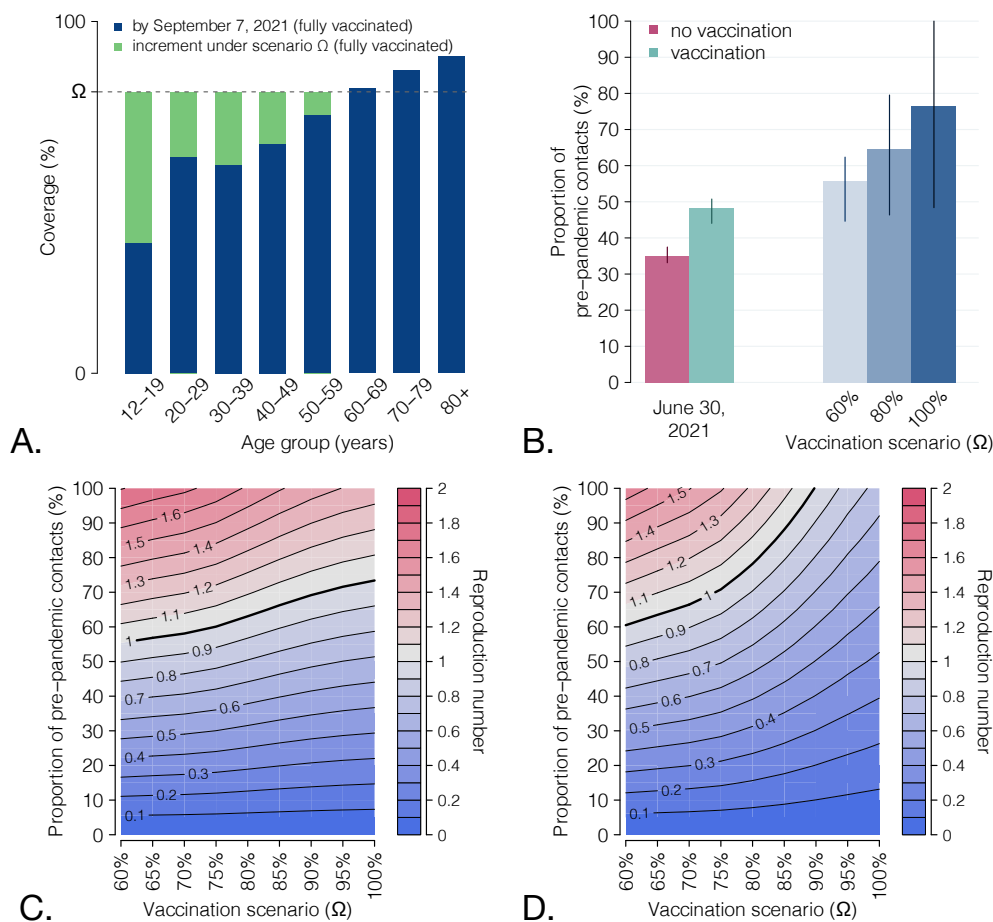
A.

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137 **Figure 3. Vaccination coverage by June 30 and September 7, 2021, and effect of the replacement of the Alpha**
 138 **variant by the Delta variant.** A) Comparison between the fraction of the Italian population that was partially and
 139 fully vaccinated by June 30, 2021, and by September 7, 2021, by age group. B) Green: net reproduction number
 140 estimated on June 30, 2021, when the Alpha variant was still largely dominant. Light blue: mean estimated value
 141 of the reproduction number, given the progression of the vaccination program until September 7 and under the
 142 assumption that the Alpha variant remained dominant. Dark blue: the same effect under the assumption of a
 143 50% increase in transmissibility to reproduce the replacement of the Alpha variant with the Delta, occurred
 144 during the summer of 2021 [11-13, 25]. Vertical lines: 95% CI. Red triangle: value of the reproduction number as
 145 estimated from surveillance data [29]; for this estimate, the 95% CI is not visible at the scale of the plot.

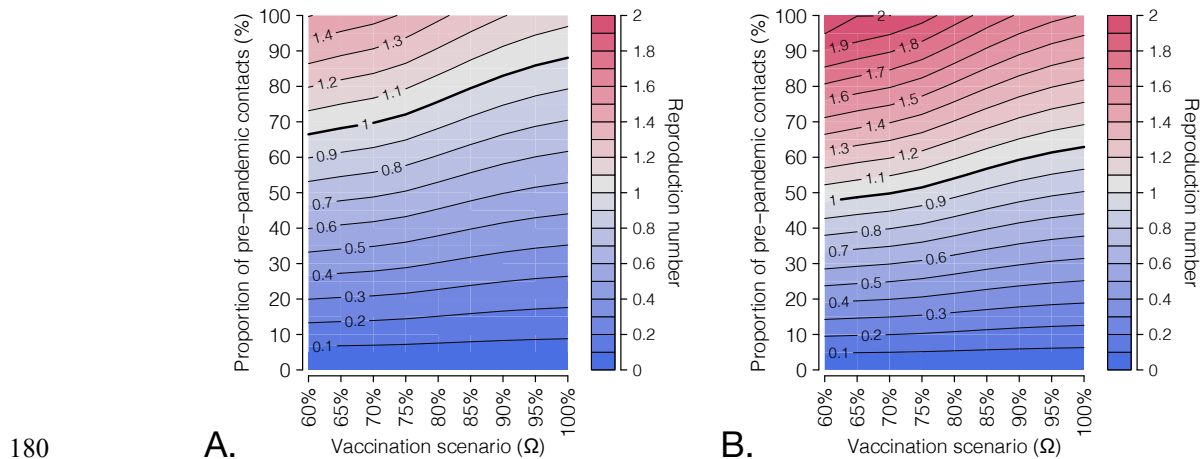
146 **Future vaccination scenarios.** We projected the potential impact of a further future progression of the
 147 vaccination campaign. To this aim, we evaluated scenarios in which all age groups will reach a given
 148 coverage Ω ; age groups which were already above that coverage on September 7, 2021, will remain
 149 unaffected (see the schematic example on Figure 4A). We then projected the reproduction number
 150 for different values of Ω and different proportions of pre-pandemic contacts that are resumed (Figure
 151 4C). A complete return to the pre-pandemic lifestyle would still result in reproduction numbers
 152 significantly higher than the epidemic threshold of 1 and is therefore unlikely to achieve, even with an
 153 almost complete coverage of the population aged 12+ years. This is due to the high transmissibility of
 154 the Delta variant and the imperfect protection against infection granted by vaccination. However,
 155 expanding the coverage would allow a significant resumption of social activity while maintaining the
 156 reproduction number under the epidemic threshold (Figure 4B), from a 56% (95% CI: 45-62%) of pre-
 157 pandemic contacts estimated for a coverage >60% in all age classes (close to the uptake level already
 158 achieved on September 7, 2021) to a projected 76% (95% CI: 48-100%) for a 100% coverage of the
 159 eligible age groups (12 years or older). If a pediatric vaccine (for children aged 5 years and older) will
 160 be licensed and widely distributed, we projected that herd immunity may be reached even for a
 161 complete return to pre-pandemic social behavior with a coverage of at least 90% in all age classes
 162 (Figure 4D). Such herd immunity, however, would be only temporary, due to the waning vaccine
 163 protection over time.

164 We analyze the sensitivity of the estimated prospective reproduction numbers against different
 165 values for the increase in transmissibility of Delta compared to Alpha (and in absence of a pediatric
 166 vaccine). We show that for a transmissibility increase of 25%, the proportion of pre-pandemic
 167 contacts that could be resumed without causing an epidemic would increase to 65-85%, depending
 168 on the coverage scenario (Figure 5A). If Delta is 75% more transmissible than Alpha, the
 169 corresponding range would be limited to 45-60% (Figure 5B).



170

171 **Figure 4. Scenarios for the expansion of vaccination coverage.** A) Schematic of simulated scenarios. All age
 172 classes with coverage below a given value Ω are assumed to progress to Ω ; all age classes above Ω will remain at
 173 the coverage level achieved on Sep 7. B) Proportion of pre-pandemic contacts corresponding to a reproduction
 174 number of 1 for three selected vaccination scenarios; levels estimated to be active on June 30, 2021 (with and
 175 without vaccination) are reported for comparison. Bars: mean; vertical lines: 95% CI. C) Heatmap of the
 176 estimated reproduction number for different vaccination scenarios (x axis) and different levels of social activity
 177 (y axis). Contour lines discriminate different values of the reproduction number. The thicker contour line
 178 represents the epidemic threshold of 1. D) As C, but assuming that coverage Ω is achieved also in pediatric age-
 179 groups (5-11 years).



180 **A.** **B.**
181 **Figure 5. Sensitivity analysis with respect to the transmissibility increase of the Delta with respect to the Alpha**
182 **variant.** Heatmap of the projected reproduction number for different vaccination scenarios (x axis) and different
183 levels of social activity (y axis), under a transmissibility increased by A) 25% and B) 75%, compared to the Alpha
184 variant.

185 186 Discussion

187 In this work, we quantified the retrospective and prospective impact of the COVID-19 vaccination
188 campaign in Italy, which kicked off on December 27, 2020. First, we show that in the first half of 2021,
189 a similar epidemic trajectory in absence of the vaccine would have resulted in a 27% (95% CI 15-47%)
190 excess of COVID-19 deaths compared to the ones observed in the same period. This would have also
191 required a reduction of social activity by one quarter (from 48% to 35% of pre-pandemic contacts at
192 the end of June). In addition, a much higher risk for further waves of infection would be maintained,
193 with an average effective reproduction number on June 30, 2021, of 2.6, instead of the 1.9 estimated
194 in the presence of vaccination. Second, our results suggest that the replacement of the Alpha variant
195 (and of other lineages) with the more transmissible Delta variant during the month of July was offset
196 by the progression of the vaccination campaign in the months of July and August, resulting in a value
197 of the reproduction number in early September that was similar to the one estimated at the end of
198 June 2021. Summer vaccinations, however, did not reduce the transmissibility alone, but also the risk
199 of severe disease and death in the population, given the high effectiveness of vaccines against these
200 endpoints; thus, despite the Delta variant, the epidemiological outlook at the start of September 2021
201 was likely better than that at the end of June 2021. Finally, we estimate that expanding the vaccine
202 coverage will allow a further increase of social activity while maintaining the reproduction number
203 below the epidemic threshold. However, the high transmissibility of Delta and the imperfect vaccine
204 protection against infection will not allow a complete return of society to the pre-pandemic life
205 without the risk of occurrence of further pandemic waves. Should a pediatric vaccine (for ages 5 and
206 older) be licensed and a coverage >90% be achieved in all age classes, a complete return to pre-
207 pandemic society could still be envisioned. For these estimates, we assume that between September
208 7, 2021, and the time the coverage for that vaccination scenario has been reached, the alteration of
209 the population immunity profile due to the opposite forces of waning immunity and of the continued
210 circulation of SARS-CoV-2 will be negligible, compared to that caused by the progression of
211 vaccination. This assumption may be broken if large waves of COVID-19 occur before reaching the
212 considered coverage or if enough time elapses (several months) for a substantial waning of immunity.

213 However, the administration of booster doses that is taking off in the fall of 2021 in countries with a
214 high population coverage, including Italy [30], will likely reduce the risks related to waning immunity.

215 One limitation of this study is that we implicitly assumed that vaccinated and unvaccinated individuals
216 have the same probability of contacting each other. However, it is known that vaccine hesitancy
217 clusters spatially and demographically [31], increasing the probability of local outbreaks in
218 undervaccinated pockets even when the average reproduction number is below the epidemic
219 threshold. To explore this effect, data on the clustering of COVID-19 vaccine hesitancy are warranted.

220 We did not consider the effect on our results of other features of the Delta variant, such as its
221 potential ability to escape natural immunity [24, 32-34], which is still partially undefined. In addition,
222 even the increased transmissibility of Delta is subject to several unknowns; its value was estimated in
223 situations where physical distancing restrictions were broadly active and thus a large proportion of
224 interactions were with close contacts [12,13]. It is possible that, as interventions relax and social
225 contacts increases, the estimated transmission advantage of Delta over Alpha (about 50%) will be
226 different. In a sensitivity analysis, we showed that the actual value of this parameter critically affects
227 epidemiological prospects.

228 The dynamics of loss of protection over time for different population demographics (age,
229 comorbidities) and clinical endpoints (infection, death, transmissibility of breakthrough infections) will
230 likely affect future COVID-19 trajectories and must be better elucidated with long-term follow-up
231 studies. Based on preliminary studies [22, 23], we assumed an average duration of two years for the
232 protection conferred by both infection and vaccination, and equal for all individuals. In sensitivity
233 analyses, we show that different durations of the natural immunity may affect our estimates of the
234 effective reproduction number at the end of June, 2021 and therefore impact prospective scenarios
235 for the next year (see Appendix). Similarly, if breakthrough infections were as transmissible as
236 infections in unvaccinated individual, this would increase the estimated reproduction numbers and
237 reduce the levels of societal reopening that would be affordable (see Appendix).

238 This work highlights the multiple epidemiological and social benefits allowed by the vaccination
239 efforts in terms of averted deaths, reopening of social activity, and reduced risks of further epidemic
240 waves. In addition, our study shows the potential for further resuming social activities granted by the
241 expansion of vaccination coverage in the perspective of “living with the virus”. In particular, the
242 availability of pediatric vaccines, which, as of October 2021, are under scientific investigation and
243 regulatory scrutiny [35], could greatly contribute to societal reopening should the coverage be
244 sufficiently high. However, the acceptability of a pediatric vaccine may be limited by the perceived
245 small risk of COVID-19 disease in children, especially if adverse vaccine events will be recorded even
246 with very low rates [36].

247 The scenario of complete resumption of pre-pandemic social life would entail removing all of the
248 persisting factors that today still reduce the number of an individual’s contacts compared to the pre-
249 COVID-19 era. These include: residual governmental limitations (e.g., capacities in stadiums and
250 discotheques, number of people who can be seated together at restaurants indoors, etc.);
251 organizational measures reducing crowding (e.g., capacity of workplace spaces and the use of work
252 from home, distancing of desks in schools, mandatory booking for recreational and cultural activities,
253 regulations for weddings and other large events); social distancing etiquette; and individual choices to
254 reduce one’s own risks of infection. In addition, several preventive measures further reduce the
255 contacts that are important for transmission (those considered in the model) without significantly

256 affecting social interactions, e.g., mandates for EU digital COVID-19 certificate [37] (currently required
257 in Italy for accessing workplaces, schools, and indoor recreational facilities), ventilation policies and air
258 filtering systems on public transport, plexiglass separators between restaurant tables or at counters of
259 commercial and public offices, face masks, and testing, tracing and isolation protocols. Although
260 quantifying the impact of each of these measures and norms is extremely hard, it is likely that many
261 of them will linger for a long time without a significant negative influence on either the economy or
262 the social life of individuals. Therefore, a complete resumption of pre-pandemic contacts in the sense
263 considered by the model may not necessarily be a key objective. Depending on the measures that will
264 be maintained and on the acquired coverage, we estimate the SARS-CoV-2 reproduction number to
265 take values between 0.7 (if contacts will not increase and coverage will be close to 100%) and 1.8 (if
266 social activity will be fully resumed and norms will be abandoned without increases in vaccine
267 coverage).

268 Finally, we stress that our prospective results need to be revised in case of the future emergence of
269 new hypertransmissible variants. Such a possibility could jeopardize the gains afforded by vaccination
270 programs, forcing new setbacks in the recovery of social contacts and exacerbating the burden of a
271 potential further epidemic resurgence.

272

273 **Methods**

274 We developed an age-structured stochastic model of SARS-CoV-2 transmission and vaccination, based
275 on a susceptible-infectious-removed-susceptible (SIRS) scheme [17,18]. The population is stratified by
276 age (17 5-year age groups from 0 to 84 years plus one age group for individuals aged 85 years or
277 older) and presence/absence of comorbidities. Mixing patterns are encoded by an age-specific social
278 contact matrix estimated prior to the COVID-19 pandemic [20]. Susceptibility to SARS-CoV-2 infection
279 is assumed to be age-dependent (lower in children under 15 years of age and higher for the elderly
280 above 65 years, compared to individuals aged 15-65) [38]. Infectiousness was assumed to be
281 homogeneous by age groups and symptomatic status [38, 39]. We consider a basic reproduction
282 number R_0 for historical lineages of 3.0 [19,40, 41]. The model was used to simulate the vaccination
283 campaign and the evolution of COVID-19 epidemiology in Italy between December 27, 2020 (start of
284 vaccination) and June 30, 2021. Throughout this period, the dominant variant was Alpha [24];
285 therefore, in our retrospective investigation we considered an increase in transmissibility by 50%
286 compared to historical lineages [24, 42, 43].

287 The rollout of the vaccination campaign is modeled using detailed data on the daily age-specific
288 number of doses administered over the considered period [21]. Individuals are considered eligible for
289 vaccination, independently of a previous diagnosis of SARS-CoV-2 infection. To account for
290 preferential administration of different types of vaccines by age group, we estimated the age-specific
291 vaccine efficacy against infection by weighting the efficacy of a specific vaccine type (mRNA vs. viral
292 vectors) by the number of vaccines of that type administered to each age group [21], considering a
293 vaccine efficacy against infection of 89% after two doses of mRNA vaccine, and of 62% after two
294 doses of viral vector vaccine [8,9]. The efficacy against death was set to 80.6% in partially and 96.4%
295 in fully vaccinated individuals [28]. Breakthrough infections (i.e., infections in vaccinated individuals)
296 were assumed to be half as infectious as those in unvaccinated individuals [44, 45]; we additionally
297 considered a sensitivity analysis where the infectiousness is the same. Immune protection is assumed
298 to wane after an exponentially distributed time (average 2 years [22, 23] in the baseline for both the

299 natural and vaccine-induced protection; alternative values are considered as sensitivity analyses).
300 After waning of protection, individuals are considered fully susceptible.

301 To reproduce the epidemic curve over the study period, we adjusted a scaling factor representing the
302 proportion of pre-pandemic contacts that were active on a given day, in such a way that the model's
303 reproduction number (estimated via the Next Generation Matrix approach [46, 47]) would match the
304 corresponding estimate from surveillance data [10]. We compared estimates obtained with the actual
305 vaccination rollout against those that would be required in a hypothetical scenario without
306 vaccination to obtain the same epidemic curve. We evaluated the prospective impact of the
307 vaccination campaign by considering the replacement of the Alpha with the Delta variant (occurred in
308 July 2021) [25], which is assumed to be 50% more transmissible [11-13] (transmissibility increases of
309 25% and 75% are considered in sensitivity analyses). We also considered vaccination scenarios where
310 the age-specific vaccination coverage achieved by September 7, 2021, is incremented for all age
311 classes that were below a given target coverage Ω at that date and left unchanged for age classes
312 above. For each scenario, we estimate reproduction numbers under different degrees of resumption
313 of pre-pandemic contacts (from 0% to 100%). Full model details are reported in Appendix.

314
315

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